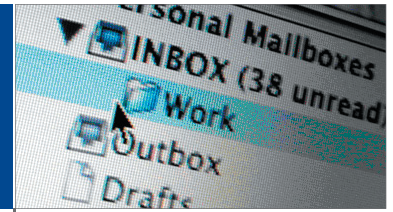


EVault ProMail™



Email Archiving for Regulatory Compliance

EVault ProMail is a hosted email archiving service that provides finance services organizations an easy to use and cost effective solution for archiving electronic communication to meet regulatory compliance. ProMail captures, monitors, and archives all email, attachments, and instant messaging (IM) conversations for organizations mandated to comply with specific regulatory requirements.

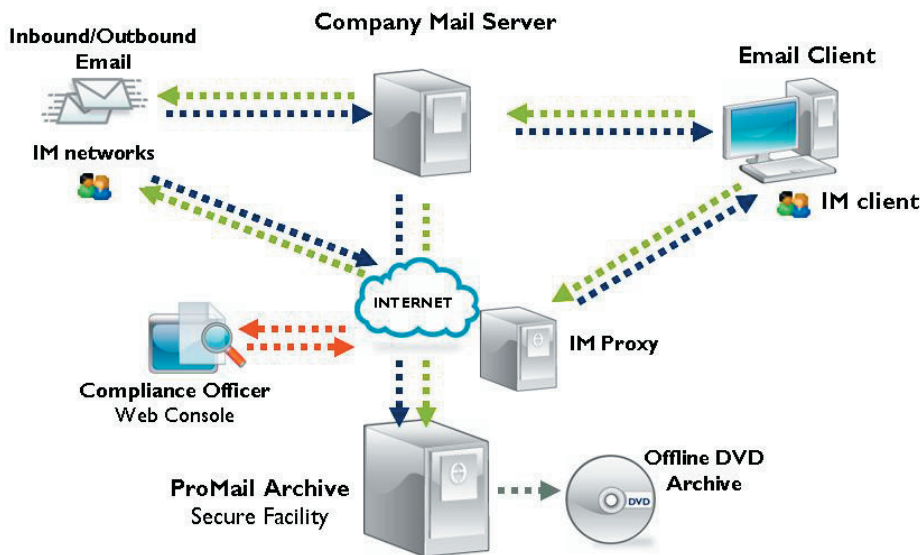
ProMail satisfies the email retention requirements for Broker and Dealers of Securities, Registered Investment Advisors, Hedge Fund and Asset Managers, who must comply within the specific regulatory requirements stated in: SEC Rule 240.17a-4, SEC Rule 204-2, NASD 3010/3110, and NYSE Rules 342 and 440.

With the increased regulatory pressures, heightened compliance enforcement and the threat of fines, ProMail enables financial services organizations to meet their email archiving requirements without the expense, complexity, and expertise required to deploy and manage an in-house archiving solution.

Key Benefits

- Regulatory compliant
- Offline DVD archiving
- Web-based interface
- Ease of use and deployment
- No capital investment

EVault ProMail Architecture



EVault ProMail captures and archives all email, attachments, and IM conversations for review by administrators and compliance officers.

Cost effective, easy to deploy

For an organization to deploy a complete archival and compliance system in-house would require switches, firewalls, routers, redundant application servers, back-up devices, CD-R/DVD-R burners and more. On the staff side, skills in network support, security management, mail services and backup procedures would be required. As a hosted solution, ProMail requires no end user software and little or no IT resources to deploy and manage.

Centralized Management

With its secure web interface, ProMail users can access their archive at anytime by simply using an Internet connection. There are no additional software licenses to purchase and maintain and the archive is always up to date with the latest changes.

Improved Auditor Efficiency

For an auditor, sifting through hundreds of messages daily is no trivial task. ProMail streamlines the auditing process with several advanced features including proactive notification when new violations have been flagged, saved search filters, and the ability to close multiple items in a single click.

Features	Benefits
Regulatory compliant	Ensures firms meet all SEC, NASD, and NYSE regulatory requirements including SEC Rules 17a-4, 204-2, 31a-2, NASD Rules 3010/3110, NYSE Rules 342 and 440 for email archiving, retrieval, and surveillance requirements.
Advanced search capabilities for both online and offline data	Enables compliance officers to quickly locate, track and provide the required emails and attachments for auditing purposes.
Archive compression and single instance store	Data compression along with a single copy of the same attachments reduces the total amount of online storage use.
Support for hosted email environments	Allows for ISP hosted email domain and public use email domains (Yahoo, AOL, Hotmail, etc...), to be forwarded using MX records.
Ensures integrity of stored messages	Data resides in a Tier 1 Data Center that ensures emails and attachments are unchanged from their original state.
Offline DVD Archive	Preserves all records in a "non-rewritable, non-erasable" format, per SEC Rule 240 17a-4 (WORM compliant)
Centralized Management	Simple and intuitive web-based management console allows for ease of deployment and use, with little or no IT resources required.

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